



*Gaw 2811  
#4/IOS  
N. Whitener  
8/3/99*

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:**

Pan et al. ✓

**Serial No.:** 09/073,494 ✓

**Filed:** May 6, 1998 ✓

**For:** TECHNIQUE FOR ELIMINATION OF  
PITTING ON SILICON SUBSTRATE  
DURING GATE STACK ETCH

**Examiner:** Unknown

**Group Art Unit:** 2811

**Attorney Docket No.:** 2915.1US (96-149.1)

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail (under 37 C.F.R. § 1.8(a)) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

July 6, 1999  
Date of Deposit

*Joseph A. Walkowski*  
Signature of registered practitioner or other person having reasonable basis to expect mailing to occur on date of deposit shown pursuant to 37 C.F.R. § 1.8(a)(1)(ii)

Joseph A. Walkowski  
Typed printed name of person whose signature is contained above

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**RECEIVED**

**JUL 20 1999**

TECHNOLOGY CENTER 2800

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents are enclosed pursuant to 37 C.F.R. § 1.98(a). The listed documents were cited by the Office in co-pending application Serial No. 08/682,935, filed on July 16, 1996 and directed to a related invention.

In accordance with 37 C.F.R. § 1.97(g) and (h), filing of this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has

been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Further, no representation is made by Applicants herein that no other possible material information as defined in 37 C.F.R. § 1.56 (b) exists.

DOCUMENTS

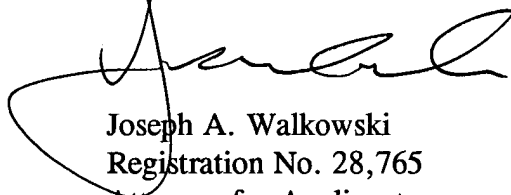
U.S. Patent Documents

<u>U.S. Patent No.</u>	<u>Issue Date</u>	<u>Inventor</u>
5,103,272	04/1992	Nishiyama
5,656,546	08/1997	Chen et al.
5,728,625	03/1998	Tung

Applicants offer to supply any explanation or discussion of the documents which the Examiner feels is necessary or desirable and which is requested.

This Supplemental Information Disclosure Statement is believed to be filed before the mailing date of a first Office Action on the merits, and therefore no fee is required.

Respectfully submitted,



Joseph A. Walkowski  
Registration No. 28,765  
Attorney for Applicants  
TRASK, BRITT & ROSSA  
P. O. Box 2550  
Salt Lake City, Utah 84110-2550  
Telephone: (801) 532-1922

Date: July 6, 1999

JAW/ps:dlm

Enclosures: Form PTO-1449  
Copy of documents cited